

DOCKET NO.: UPN-4236/P3062

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Scott O. Trerotola

Application No.: 10/658,647

Filing Date: September 9, 2003

For: CONVERTIBLE MULTI-LUMEN CATHETER

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: *October 14, 2003*

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

*Elizabeth A. McLoud*

TYPED NAME: Elizabeth A. McLoud

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.
- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with § 1.97(e) is attached;
- or
- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.
- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of **\$180.00** as set forth in § 1.17(p).
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☒ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

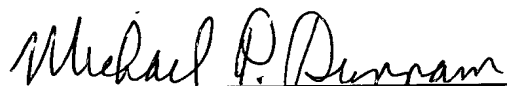
## EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. \_\_\_\_\_, filed \_\_\_\_\_.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

- ☐ The relevance of those listed references which are not in the English language is as follows:
- ☒ There are no listed references which are not in the English language.

Date: Oct. 14, 2003

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No. UPN-4236/P3062		Application No. 10/658,647	
				Applicant Scott O. Trerotola			
				Filing Date September 9, 2003		Group Not Yet Assigned	
				Confirmation No. Not Yet Assigned			
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial	Document No.	Date	Name	Class	Subclass		
	1	4,543,087	09/24/85	Sommercorn, et al.	604	43	
	2	4,643,711	02/17/87	Bates	604	43	
	3	4,808,155	02/28/89	Mahurkar	604	43	
	4	4,995,865	02/26/91	Gahara, et al.	604	43	
	5	5,025,778	06/25/91	Silverstein, et al.	128	4	
	6	5,149,330	09/22/92	Brightbill	604	280	
	7	5,221,256	06/22/93	Mahurkar	604	43	
	8	5,378,230	01/03/95	Mahurkar	604	43	
	9	5,451,206	09/19/95	Young	604	43	
	10	5,807,311	09/15/98	Palestrant	604	28	
	11	6,001,079	12/14/99	Pourchez	604	43	
	12	6,013,068	01/11/00	Spiegelhalter	604	523	
	13	6,146,354	11/14/00	Beil	604	28	
	14	6,156,016	12/05/00	Maginot	604	264	
	15	6,179,776 B1	01/30/01	Adams, et al.	600	121	
	16	US 6,450,987 B1	09/17/02	Kramer	604	43	
	17	US2001/0000040 A1	03/15/01	Adams, et al.	600	121	
	18	US2002/0091362 A1	07/11/02	Maginot, et al.	604	264	
	19	US2003/0023229 A1	01/30/03	Kramer	604	523	
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			